

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 2626P	SERIAL NO. To Be Assigned
	APPLICANT: FUNG	
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REFERENCE DESIGNATION
U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
/A.V./	6 3 9 0 4 7 2	05-21-02	Vinarsky			
/A.V./	US 2002/0198816 A1	12-26-02	Gilbert et al.			
/A.V./	US 2001/0034687 A1	10-25-01	Bushonville et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
/A.V./	WO 02/46999 A2	06-13-02	PCT - WIPO			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	http://www.intel.com	6/11/2002
	http://www.betfair.com	3/18/2003

EXAMINER /Abhishek Vyas/	DATE CONSIDERED 01/21/2008
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PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	10/600,026
Filing Date	June 19, 2003
First Named Inventor	Kevin Ka-Shun Fung
Art Unit	3629
Examiner Name	Not yet known
Attorney Docket Number	23876-09106

Sheet

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of

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
/A.V./		US-2001/0032175 A1	10-18-2001	Holden et al.
↓		US-2001/0034691 A1	10-25-2001	Guarino et al.
		US-2002/0026401 A1	02-28-2002	Hueler
		US-2002/0038273 A1	03-28-2002	Wherry et al.
		US-2002/0095369 A1	07-18-2002	Kaplan et al.
		US-2002/0156719 A1	10-24-2002	Finebaum et al.
↓		US-6304858 B1	10-16-2001	Mosler et al.
		US-6321212 B1	11-20-2001	Lange

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
/A.V./	1	CN 1315709 A	10-03-2001	Future Circulation Network Co. Ltd.	
↓	2	WO 02/056146 A2	07-18-2002	Vertical Crossings.com, Inc.	
	3	WO 02/13111 A1	02-14-2002	The Debt Exchange, Inc.	
	4	WO 02/37390 A2	05-10-2002	Liquidity Direct	
↓	5	WO 03050654 A2	06-19-2003	Bank One	

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶

Examiner Signature	/Abhishek Vyas/	Date Considered	01/21/2008
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23876/09106/DOCS/1444149.1

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